North Carolina Total Retirement Plans | 403b

403(b) Contract Exchange Request

NC 403 (b) PLAN

Instructions

Please print using blue or black ink. This request ***must*** be authorized by your employer or Third Party Administrator (TPA). Please forward this form to your employer (benefits/human resources office) or TPA to complete the 'Your Plan Authorization' section. This request cannot be processed without an authorized signature from your benefits/human resources or TPA office on file. Do not fax or mail to Prudential until the 'Your Plan Authorization' section on this form is reviewed by your Plan Administrator.

Please send completed form to the address on the 'Form Direction' page.

Questions?
Call 1-866-627-5267 for assistance.

About You

Plan number

0 2 2 0 0 3

Social Security number ___ - ___ - ___

Daytime telephone number ___ - ___ - ___ (area code)

First name ______ MI ___ Last name ______

Address ______

City ______ State ___ ZIP code ___ - ___

Date of birth ___ ___ ___ (month day year)

Gender ☐ M ☐ F

Date employment ended (if applicable) ___ ___ ___ (month day year)

Are you still employed by the employer sponsoring the plan? ☐ Yes ☐ No

☐ **Contract Exchange (For 403(b) plans only).** Please include a contract exchange request form obtained from your alternate carrier. It should include your signature and a current date. If we do not receive this information, your contract exchange request cannot be processed.

Caution: Recent IRS regulations may affect the taxation of 403(b) tax deferred annuity contract exchanges that occur after September 24, 2007.

Certain transactions, often called "Revenue Ruling 90-24" exchanges or transfers, are a common non-taxable method to exchange one tax deferred annuity contract for another. The IRS has issued regulations that may impose restrictions on your ability make such an exchange. The regulations are generally effective in 2009 but there is uncertainty about their application to contract exchanges that take place during the period following September 24, 2007 and before January 1, 2009 (the "gap period"). Because of this uncertainty, it is possible that an exchange that takes place during the gap period may cause you to incur taxation on the value of the contract. But it is also possible that such an exchange will not have adverse tax consequences. We have asked the IRS to provide more guidance on this critical issue. In the meantime, before you request an exchange during the gap period you should consult with your tax advisor.

Please indicate "**Total**" or "**Partial**" below.

_____ **Total.** The total amount will be exchanged

_____ **Partial.** $ ___ , ___ , ___ . Print the exact percentage or dollar amount below for the investment option(s) you select. If the amount available at processing time is less than you indicate, the withdrawal amount will be reduced to the maximum amount available.

	Percent	Or	Dollar Amount		Investment Option
Exchange	___%		$ ___ , ___ .	from my	______
Exchange	___%		$ ___ , ___ .	from my	______

Important information continued on the following page

Express Mail
(check box if applicable)

☐ Send my disbursement check by express mail and deduct $25.00 per check from my account prior to the exchange. Please note: Express mail is not available for delivery to post office boxes.

New Carrier Information

New Carrier's Name____________________________________

Mailing Address ____________________________________

Your Authorization

I understand that Prudential will rely on the information I have provided in processing my request. I understand that my request must be reviewed to confirm eligibility under applicable Internal Revenue Code requirements and plan rules and will be processed upon confirmation. I further understand that I am responsible for its accuracy in the event any dispute arises with respect to the transaction. I understand the possible tax implications regarding this exchange.

If there are investment options available through your retirement account that are subject to the fund's market timing policies, you may be subject to restrictions or incur fees if you engage in excessive trading activity in those investments. You may wish to review the fund prospectus or your retirement account's market timing policy prior to submitting this transaction request. If a fee applies to the transaction, you will be able to view the details after the transaction is processed by logging on to the retirement internet site at **www.prudential.com/online/retirement.**

X____________________________________ *Date* ____|____|____
Participant's/Account Owner's signature

Your Plan Authorization

This section must be completed by your employer and signed by an authorized plan representative.

X____________________________________ *Date* ____|____|____
Authorized plan representative's signature

____
Print name and title

X____________________________________ *Date* ____|____|____
Authorized plan representative's signature (if two signatures are required)

____
Print name and title

FORM DIRECTION

For TPA - TSA Consulting:

Fax completed transaction form to TSA Consulting for review. Toll-free fax number is 866-741-0645

Requests can also be mailed:
Operations Department
TSA Consulting Group, Inc.
73 Eglin Parkway, Suite 302
Fort Walton Beach, FL 32548

For TPA - ADMIN Partners:

All completed transactional paperwork should be either:

Emailed to: service@youradminpartners.com

Faxed: 856-755-3515

Mailed:
ADMINPartners, LLC
200 Lake Drive East, Ste 102
Cherry Hill, NJ 08002
Within 1-3 business days of receipt, we will review the request. If it is in good order, we will sign and forward it to Prudential (unless otherwise instructed).
We will reach out to the participant if we have any question/concerns.

Status of the request may be obtained by calling 877-484-4400 Option 1.

For TPA - OMNI Group

The forms in question can be mailed to:

The OMNI Group
Attn: Service Provider Team
1099 Jay Street, bldg. F
Rochester, NY 14611

For School Districts that are reviewing transactions - (self-administered)

Please contact your plan administrator for form mailing directions

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